

**TRANSMITTAL  
FORM**

Application Serial Number 09/974,722  
 Filing Date October 9, 2001  
 First Named Inventor Phelps  
 Group Art Unit 1711  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. CYC-046

**ENCLOSURES (check all that apply)**

- |  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form   | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)                           | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences |
| <input checked="" type="checkbox"/> 2 Checks Attached  | <input type="checkbox"/> Formal Drawing(s)   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Copy of Fee Transmittal Form  | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Amendment/Response  | <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  | <input checked="" type="checkbox"/> Return Receipt Postcard                                |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Terminal Disclaimer   | <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8            |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application      | <input type="checkbox"/> Additional Enclosure(s) (please identify below)                   |
| <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Small Entity Statement  |  |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Request for Refund  |  |
| <input type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> After Allowance Communication to Group  |  |
| <input type="checkbox"/> Form PTO-1449   |  |  |
| <input type="checkbox"/> Copies of IDS Citations   |  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                                  |  |  |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application             |  |  |

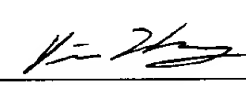
**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Date: December 21, 2001  
 Reg. No. 44,372  
 Tel. No.: (617) 248-7809  
 Fax No.: (617) 248-7100

Respectfully submitted,

  
 Yin P. Zhang, Ph.D.  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110

## FEE TRANSMITTAL

OIP EY 2002

DEC 21 2001

Complete if Known

Application Serial Number	09/974,722
Filing Date	October 9, 2001
First Named Inventor	Phelps
Group Art Unit	1711
Examiner Name	Not Yet Assigned
Attorney Docket No.	CYC-046

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ 2 Checks ☐ Money Order ☐ Other

☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.

- ☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

## FEE CALCULATION

## 1. FILING FEE

## Large Entity

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims	29	- 20 = 9	x \$ 18.00 =	162.00
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Independent Claims	3	- 3 = 0	x \$ 84.00 =	0
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☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 902.00

SMALL ENTITY DISCOUNT: 0

SUBTOTAL (1) (\$ 902.00)

## 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total	-	=	x \$ 18.00 =	
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Indep.	-	=	x \$ 84.00 =	
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☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$ 130.00)

SUBTOTAL (1) 902.00

SUBTOTAL (2) 0

SUBTOTAL (3) 130.00

TOTAL (\$ 1032.00)

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

Date: December 21, 2001  
 Reg. No.: 44,372  
 Tel. No.: (617) 248-7809  
 Fax No.: (617) 248-7100

*Yin P. Zhang*  
 Yin P. Zhang, Ph.D.  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110



Express Mail Label No.: EV012826345US

(B)

PATENT  
Attorney Docket No. CYC-046  
(1591/24)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Phelps et al.  
SERIAL NO.: 09/974,722 GROUP NO.: 1711  
FILING DATE: October 9, 2001 EXAMINER: Not Yet Assigned  
TITLE: ORGANO-TITANATE CATALYSTS FOR PREPARING PURE  
MACROCYCLIC OLIGOESTERS

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

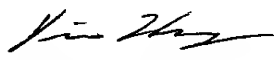
In response to the Notice to File Missing Parts mailed October 31, 2001, enclosed are two checks in the amount of \$740.00 and \$292.00 for payment of the \$1032.00 fee as follows:

Basic Filing Fee	\$740.00
Additional Claim Fee	\$162.00
Executed Oath or Declaration	
Surcharge – late filing fee or oath	\$130.00

Respectfully submitted,

Date: December 21, 2001  
Reg. No. 44,372

Tel. No. (617) 248-7809  
Fax: (617) 248-7100

  
Yin P. Zhang, Ph.D.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



Express Mail Label No.: EV012826345US

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,722	10/09/2001	Peter D. Phelps	CYC-046

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

CONFIRMATION NO. 7837

FORMALITIES LETTER



\*OC000000007002184\*

Date Mailed: 10/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

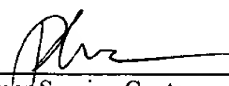
FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$162.
  - \$162 for 9 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1032.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/31/2001 JADD01 00000078 09974722  
01 FC:101 740.00  
02 FC:103 162.00  
03 FC:105 130.00